

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
SHUMWAY ET AL. § Group Art Unit: **1712**
Serial No.: **10/799,810** §
Filed: **MARCH 12, 2004** § Examiner: **FIGUEROA, JOHN J.**
Title: **"SURFACTANT-FREE EMULSIONS AND**
METHODS OF USE THEREOF" § Atty. Docket No: **HES 2003-IP-012703U1**
§
§

CERTIFICATE OF FILING ELECTRONICALLY VIA EFS
MPEP 503

I HEREBY CERTIFY THAT I HAVE A REASONABLE BASIS FOR BELIEF THAT THIS CORRESPONDENCE IS BEING SUBMITTED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE VIA EFS (ELECTRONICALLY) ON THE DATE INDICATED BELOW, AND IS ADDRESSED TO:

HONORABLE COMMISSIONER FOR PATENTS
P.O. Box 1450
ALEXANDRIA, VA 22313-1450

Debbie Allen

DEBBIE ALLEN

FEBRUARY 15, 2007

DATE OF SUBMISSION:
ELECTRONIC FILING (EFS)

MAIL STOP AMENDMENT
Honorable Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

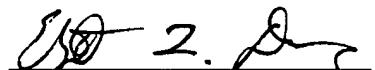
Applicants respectfully request, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that the art listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application.

Pursuant to 37 C.F.R. §§1.97(g) and (h), no representation is made that a search

has been made, or that the cited documents are, or are considered to be, material to the patentability of the present application.

As this information disclosure statement is being filed before the mailing of a first office action after the filing of a request for continued examination, Applicants believe that no fees are due in association with the filing of this Information Disclosure Statement. Should the Commissioner deem that any fees are due, including any fees for extensions of time, the Commissioner is authorized to debit Baker Botts L.L.P. Deposit Account No. 02-0383, Order Number 063718.0454, for any underpayment of fees that may be due in association with this filing.

Respectfully submitted,



Elizabeth L. Durham
Registration No. 59,509
BAKER BOTTs L.L.P.
One Shell Plaza
910 Louisiana
Houston, TX 77002
Telephone: 713.229.2104
Facsimile: 713.229.7704
Email: liz.durham@bakerbotts.com

Date: February 15, 2007

PTO-1449 Information Disclosure Citation in an Application			Application No. 10/799,810		Applicant(s): SHUMWAY ET AL.		
			Docket Number HES 2003-IP-012703UJ		Group Art Unit 1712	Filing Date MARCH 12, 2004	
U.S. PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	1						
	2						
	3						
	4						
	5						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	COUNTRY	PAGES, COLUMNS, LINES WHERE RELEVANT PASSAGES OR RELEVANT FIGURES APPEAR		T
	6						
	7						
	8						
	9						
	10						
	11						
	12						
	13						
NON-PATENT DOCUMENTS							
		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)				DATE	
	14	OFFICE ACTION DATED JUNE 16, 2006 FROM APPLICATION SERIAL NUMBER 10/829,484				JUNE 16, 2006	
	15						
	16						
	17						
	18						
	19						
	20						
	21						
	22						
EXAMINER					DATE CONSIDERED		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							